

MSEI LTD

ACKNOWLEDGEMENT

Acknowledgement No : 1504201911291227 Date & Time : 15/04/2019 11:29:12 AM
Symbol : CRESCENT
Entity Name : CRESCENT FINSTOCK LIMITED
Compliance Type : Regulation 27(2)- Corporate Governance
Quarter / Period : 31/03/2019
Mode : E-Filing

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General information about company

Scrip code	000000
NSE Symbol	000000
MSEI Symbol	CRESCENT
ISIN	INE147E01013
Name of the entity	CRESCENT FINSTOCK LIMITED
Date of start of financial year	01-04-2018
Date of end of financial year	31-03-2019
Reporting Quarter	Yearly
Date of Report	31-03-2019
Risk management committee	Not Applicable

Enter the quarter
ended date only

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Annexure 1

Annexure 1 to be submitted by listed entity on quarterly basis
L. Composition of Board of Directors.

Sr	Title (Mr / Ms)	Name of the Director	PIN	DIN	Category 1 of directors	Category 2 of directors	Whether the listed entity has a Regular Chairperson		Date of appointment in the current term	Date of expiration	Tenure of director (in months)	No. of Directorship in the listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in other listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	No. of inst of Chairperson in other listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
							Yes	No								
1	Mrs	Bharti Jain	ACIP6283C	30507482	Executive Director	Not Applicable		09-05-1998		60	1	0	0			
2	Mr	N.L.Sah	ACIP6284C	30507535	Executive Director	Chairperson		09-05-1989		0	1	0	0			
3	Mr	Nagesh Mohan Jaiswal	AT774950H	00313270	Non-Executive - Independent Director	Not Applicable		29-07-2003		0	1	1	2			
4	Mr	Sambhaji Dharmarajan	AAVD9908N	09553857	Non-Executive - Independent Director	Not Applicable		11-01-2006		0	1	1	0			
5	Mr	Sonu Anshu Dsouza	MAIP27959P	01877958	Non-Executive - Independent Director	Not Applicable		29-10-2017		0	1	1	1			
6	Mr	Prashant Kumar Desai	AEZ71314L	08005196	Executive Director	Not Applicable		29-11-2017		60	1	1	0			

Annexure 1

II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Add/Deletes

For this quarter kindly note the following points:
 1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee
 2. Date of Appointment can be any day upto March 31, 2019.
 3. Date of Cessation must be for the current quarter only, i.e. January 1,2019 to March 31,2019.

Audit Committee Details								
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Whether the Audit Committee has a Regular Chairperson	Date of Appointment	Date of Cessation	Remarks
					Yes			
1	01877999	John Anthony Dsouza	Non-Executive - Independent Director	Chairperson		23-10-2017		
2	00519200	Nagesh Mohan Jagtap	Non-Executive - Independent Director	Member		29-07-2003		
3	08005196	Chandramohan Govardhan Prasad	Executive Director	Member		29-11-2017		
4								
5								
6								
7								
8								
9								
10								

Nomination and remuneration committee								
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Whether the Nomination and remuneration committee has a Regular Chairperson	Date of Appointment	Date of Cessation	Remarks
					Yes			
1	00519200	Nagesh Mohan Jagtap	Non-Executive - Independent Director	Chairperson		29-07-2003		
2	00653897	Garipathi Dharmarajani	Non-Executive - Independent Director	Member		31-07-2006		
3	01877999	John Anthony Dsouza	Non-Executive - Independent Director	Member		23-10-2017		
4								
5								
6								
7								
8								
9								
10								

Stakeholders Relationship Committee								
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Whether the Stakeholders Relationship Committee has a Regular Chairperson	Date of Appointment	Date of Cessation	Remarks
					Yes			
1	00507482	Bharti Nish Jain	Executive Director	Member		09-05-1988		
2	00507526	Nishi Jain	Executive Director	Member		09-05-1988		

3	00519200	Nagesh Mohan Jagtap	Non-Executive - Independent Director	Chairperson:	29-07-2003
4	01177999	Jahn Anthony Dsouza	Non-Executive - Independent Director	Member:	23-10-2017
5					
6					
7					
8					
9					
10					

Risk Management Committee					
Sr	DIN Number	Name of Committee members	Whether the Risk Management Committee has a Regular Chairperson		Remarks
			Category 1 of directors	Category 2 of directors	
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					

Corporate Social Responsibility Committee					
Sr	DIN Number	Name of Committee members	Whether the Corporate Social Responsibility Committee has a Regular Chairperson		Remarks
			Category 1 of directors	Category 2 of directors	
1				No	
2					
3					
4					
5					
6					
7					
8					
9					
10					

Other Committee			
Sr	DIN Number	Name of Committee members	Remarks
		Name of other committee	Category 1 of directors
			Category 2 of directors

1					
2					
3					
4					
5					
6					
7					
8					
9					
10					

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Annexure 1			
III. Meeting of Board of Directors			
Disclosure of notes on meeting of board of directors explanatory		Add Notes	
Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date
Add Delete			
1	13-11-2018		
2	07-02-2019	85	

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Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory

Add Notes

Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee	Reason for not providing date
1	Audit Committee	07-02-2019	Yes	2	13-11-2018	85		
2	Nomination and remuneration committee	07-02-2019	Yes	2	13-11-2018	85		

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Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	if status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			Add Notes
Disclosure of notes of material transaction with related party			Add Notes

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Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	<input type="button" value="Add Notes"/>

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Annexure 1	
Sr	Subject
	Compliance status

1	Name of signatory	NISHA JOLY Company Secretary and <i>Compliance Officer</i>
2	Designation	

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Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations			
Sr	Item	Compliance status	If status is "No" details of non-compliance may be given here.
1	Details of business	Yes	
2	Terms and conditions of appointment of independent directors	Yes	
3	Composition of various committees of board of directors	Yes	
4	Code of conduct of board of directors and senior management personnel	Yes	
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes	
6	Criteria of making payments to non-executive directors	Yes	
7	Policy on dealing with related party transactions	Yes	
8	Policy for determining 'material' subsidiaries	Yes	
9	Details of familiarization programmes imparted to independent directors	Yes	
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes	
11	email address for grievance redressal and other relevant details	Yes	
12	Financial results	Yes	
13	Shareholding pattern	Yes	
14	Details of agreements entered into with the media companies and/or their associates	Yes	
15	New name and the old name of the listed entity	Yes	
16	Disclosure of notes on website in terms of Listing Regulations explanatory [Text Block]		

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Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Review of Compliance Reports	17(3)	Yes	
5	Plans for orderly succession for appointments	17(4)	Yes	
6	Code of Conduct	17(5)	Yes	
7	Fees/compensation	17(6)	Yes	
8	Minimum Information	17(7)	Yes	
9	Compliance Certificate	17(8)	Yes	
10	Risk Assessment & Management	17(9)	Yes	
11	Performance Evaluation of Independent Directors	17(10)	Yes	
12	Composition of Audit Committee	18(1)	Yes	
13	Meeting of Audit Committee	18(2)	Yes	
14	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
15	Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes	
16	Composition and role of risk management committee	21(1),(2),(3),(4)	Yes	
17	Vigil Mechanism	22	Yes	
18	Policy for related party Transaction	23(1),(5),(6),(7) & (8)	Yes	
19	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
20	Approval for material related party transactions	23(4)	Yes	
21	Composition of Board of Directors of unlisted material Subsidiary	24(1)	Yes	
22	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes	
23	Maximum Directorship & Tenure	25(1) & (2)	Yes	
24	Meeting of independent directors	25(3) & (4)	Yes	
25	Familiarization of independent directors	25(7)	Yes	
26	Memberships in Committees	26(1)	Yes	
27	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
28	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
29	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	

Any other information to be provided

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Annexure II	
1	Name of signatory Nisha Joly
2	Designation Company Secretary and Compliance Officer

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Annexure II		
III. Affirmations		
Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes
Any other information to be provided		

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Annexure II	
1	Name of signatory Nisha Joly
2	Designation Company Secretary and C

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Signatory Details

Name of signatory	NISHA JOLY
Designation of person	Company Secretary and Compliance Officer
Place	MUMBAI
Date	10-04-2019

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